§ 3405.1

Subpart E—Proposal Review and Evaluation

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AUTHORITY: Sec. 1470, National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3316).

Source: 62 FR 39317, July 22, 1997, unless otherwise noted.

Subpart A—General Information

$\S 3405.1$ Applicability of regulations.

(a) The regulations of this part only apply to competitive Higher Education Challenge Grants awarded under the provisions of section 1417(b)(1) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, amended (NARETPA)(7 USC 3152(b)(1)), to strengthen institutional capacities, including curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention. Section 1405 of NARETPA (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agricultural research, extension, and teaching in the food and agricultural sciences. Section 1417 of NARETPA (7 U.S.C. 3152) authorizes the Secretary of Agriculture, who has delegated the authority to the Administrator of the Cooperative State Research, Education, and Extension Service (CSREES), to make competitive grants to land-grant colleges and universities, to colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences, and to other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences, for a period not to exceed 5 years, to administer and conduct programs to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences.

- (b) To the extent that funds are available, each year CSREES will publish a FEDERAL REGISTER notice announcing the program and soliciting grant applications.
- (c)(1) Based on the amount of funds appropriated in any fiscal year, CSREES will determine and cite in the program announcement:
- (i) The targeted need area(s) to be supported or, if the entire scope of a particular targeted need area is not to be supported, the specific special interest(s) within that targeted need area to be supported;
- (ii) The degree level(s) to be supported;
- (iii) The maximum project period a proposal may request;
- (iv) The maximum amount of funds that may be requested by an institution under a regular, complementary, or joint project proposal; and
- (v) The maximum total funds that may be awarded to an institution under the program in a given fiscal year, including how funds awarded for complementary and for joint project proposals will be counted toward the institutional maximum.
- (2) The program announcement will also specify the deadline date for proposal submission, the number of copies of each proposal that must be submitted, the address to which a proposal must be submitted, and whether or not Form CSREES-711, "Intent to Submit a Proposal," is requested.
- (d)(1) If it is deemed by CSREES that, for a given fiscal year, additional determinations are necessary, each, as relevant, will be stated in the program announcement. Such determinations may include:
- (i) Limits on the subject matter/emphasis areas to be supported;
- (ii) The maximum number of proposals that may be submitted on behalf of the same school, college, or equivalent administrative unit within an institution:
- (iii) The maximum total number of proposals that may be submitted by an institution:

- (iv) The minimum project period a proposal may request;
- (v) The minimum amount of funds that may be requested by an institution under a regular, complementary, or joint project proposal;
- (vi) The proportion of the appropriation reserved for, or available to, regular, complementary, and joint project proposals:
- (vii) The proportion of the appropriation reserved for, or available to, projects in each announced targeted need area;
- (viii) The proportion of the appropriation reserved for, or available to, each subject matter/emphasis area;
- (ix) The maximum number of grants that may be awarded to an institution under the program in a given fiscal year; and
- (x) Limits on the use of grant funds for travel or to purchase equipment, if
- (2) The program announcement also will contain any other limitations deemed necessary by CSREES for proper conduct of the program in the applicable year.
- (e) The regulations of this part do not apply to grants awarded by the Department of Agriculture under any other authority.

§ 3405.2 Definitions.

As used in this part:

- (a) Authorized departmental officer means the Secretary or any employee of the Department who has the authority to issue or modify grant instruments on behalf of the Secretary.
- (b) Authorized organizational representative means the president of the institution or the official, designated by the president of the institution, who has the authority to commit the resources of the institution.
- (c) Budget period means the interval of time (usually 12 months) into which the project period is divided for budgetary and reporting purposes.
- (d) Cash contributions means the applicant's cash outlay, including the outlay of money contributed to the applicant by non-Federal third parties.
- (e) Citizen or national of the United States means:
- (1) A citizen or native resident of a State; or,

- (2) A person defined in the Immigration and Nationality Act, 8 U.S.C. 1101(a)(22), who, though not a citizen of the United States, owes permanent allegiance to the United States.
- (f) College or University means an educational institution in any State which:
- (1) Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate:
- (2) Is legally authorized within such State to provide a program of education beyond secondary education;
- (3) Provides an educational program for which a baccalaureate degree or any other higher degree is awarded;
- (4) Is a public or other nonprofit institution; and
- (5) Is accredited by a nationally recognized accrediting agency or association
- (g) Complementary project proposal means a proposal for a project which involves coordination with one or more other projects for which funding was awarded under this program in a previous fiscal year, or for which funding is requested under this program in the current fiscal year.
- (h) Department or USDA means the United States Department of Agriculture.
- (i) Eligible institution means a landgrant or other U.S. college or university offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences. The definition includes a research foundation maintained by an eligible college or university.
- (j) Eligible participant means, for purposes of §3405.6(b), Faculty Preparation and Enhancement for Teaching, and §3405.6(f), Student Recruitment and Retention, an individual who: Is a citizen or national of the United States, as defined in §3405.2(e); or is a citizen of the Federated States of Micronesia, the Republic of the Marshall Islands, or the Republic of Palau. Where eligibility is claimed under §3405.2(e)(2), documentary evidence from the Immigration and Naturalization Service as to such eligibility must be made available to CSREES upon request.